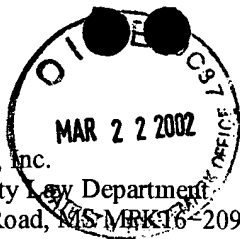


Sun Microsystems, Inc.  
Intellectual Property Law Department  
901 San Antonio Road, MS-MKT6-209  
Palo Alto, CA 94303  
(650) 786-7852  
(650) 786-2325



March 13, 2002

Docket No.: P5287 US

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APR 01 2002

Technology Center 2100

Box NO FEE  
Commissioner For Patents  
Washington, D.C. 20231

RE: Applicant: Erwann CHENEDE  
Assignee: SUN Microsystems, Inc.  
Title: APPARATUS AND METHOD FOR COMMUNICATING  
GRAPHICAL DISPLAY DATE IN A NETWORK BASED  
WINDOWING SYSTEM  
Serial No.: 09/878,708  
Examiner: To Be Assigned File Date: June 11, 2001  
Sun File No.: P5287 US Group Art Unit: 2673

Dear Sir:

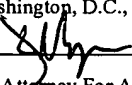
Transmitted herewith are the following documents in the above-identified application:

1. copy of the Filing Receipt having the error(s) and the changes noted thereon;
2. copy of the Declaration and Power Of Attorney For Patent Application;
3. copy of the Transmittal Letter as submitted with the application as filed;
4. This Transmittal Letter; and
5. Return Receipt postcard.


☒ No additional fee is required.

Please contact the undersigned at (408) 404-6811, if this action does not lead to issuance of a corrected Official Filing Receipt.

☒ The Commissioner is hereby authorized to charge any additional fees required and credit any overpayment to our Deposit account No.: 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box NO FEE, Commissioner For Patents, Washington, D.C., 20231, on March 13, 2002.	
 Attorney For Applicant	<u>3/13/02</u> Date of Signature

Respectfully submitted,

  
Jeffrey L. Myers  
Attorney for Applicant  
Reg. No.: 44,252



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: CHENEDE, Erwann  
Assignee: Sun Microsystems, Inc.  
Title: APPARATUS AND METHOD FOR COMMUNICATING  
GRAPHICAL DISPLAY DATA IN A NETWORK-BASED  
WINDOWING SYSTEM  
Serial No.: 09/878,708 Filing Date: June 11, 2001  
Examiner: To Be Assigned Group Art Unit: 2673  
Docket No.: P5287 US Confirmation : 3981

March 13, 2002

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COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D. C. 20231

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**REQUEST FOR CORRECTED FILING RECEIPT**

Technology Center 2100

Dear Sir:

Applicant hereby requests the Commissioner For Patents to correct the Official

Filing Receipt for the above-identified patent application as follows:

- ☐ Correct the Filing Date
- ☐ Correct Inventor Name
- ☐ Correct Title
- ☒ Correct information referencing related case
- ☐ Correct Attorney Docket Number
- ☐ Other: \_\_\_\_\_

Applicant believes no fee is required.

Attached is a copy of the Filing Receipt having the above errors and the changes noted thereon, as well as copies of the Declaration and the Transmittal Letter. Therefore, it is respectfully requested that a corrected Filing Receipt be issued.


Please contact the undersigned at (408) 404-6811, if this action does not lead to issuance of a corrected Official Filing Receipt.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box NO FEE, Commissioner for Patents, Washington, D.C. 20231, on March 13, 2002.

  
\_\_\_\_\_  
Attorney for Applicant(s)

3/13/02  
\_\_\_\_\_  
Date of Signature

Respectfully submitted,

  
Jeffrey L. Myers  
Attorney for Applicant(s)  
Reg. No. 44,252



COPY

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket Number: P5287 US

EXPRESS MAIL LABEL NO.  
EL 046 274 289 US

Inventors: CHENEDE, Erwann

Title: APPARATUS AND METHOD FOR COMMUNICATING  
GRAPHICAL DISPLAY DATA IN A NETWORK-BASED  
WINDOWING SYSTEM

June 11, 2001

UTILITY PATENT APPLICATION TRANSMITTAL  
(For new non-provisional applications under 37 CFR § 1.53(b))This application is a non-provisional application from which priority of European Patent  
Application No. 00305733.8, filed on July 7, 2000.

## Application Elements

- ☒ Specification, Claims and Abstract 32 pages  
☒ Drawings 16 Sheets (Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10A, 10B, 11, 12A, 12B, 13A, 13B, 14A, 14B, 15A, 15B, 16A, 16B, 17, and 18)  
☒ Combined Declaration and Power of Attorney 4 pages  
    ☒ Newly Executed  
    ☐ Copy from a Prior Application  
    ☐ Deletion of Inventors  
☒ Filing Fee

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## Fee Calculations (37 CFR § 1.16):

	No. of Claims		No. of Extra	Rate	Fee
Total Claims	33	-20	13	X \$18.00	\$ 234.00
Independent Claims	5	-3	2	X \$80.00	\$ 160.00
Multiple Dependent Claims					
Basic Fee					\$710.00
Assignment Fee					\$ 40.00
Total Filing Fee					\$ 1144.00

Please charge Deposit Account No. 19-4974 in the amount of \$ 1144.00 to cover  
the total filing fee.

**Accompanying Application Parts**

- ☒ Assignment and Assignment Recordation Cover Sheet 4 pages  
(recording fee of \$40 to be charged as indicated)
- ☒ Information Disclosure Statement 2 pages
- ☒ Form PTO-1449 2 pages
- ☒ Copies of four (4) IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☒ Certified Copy of Priority Document - European Application No. 00305733.8, filed  
on July 7, 2000
- ☐ Other:

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed  
which may be required, or to credit any overpayment, to Deposit Account No. 19-4974.

Please send correspondence to the following address:

**Customer Number: 24726**  
**SUN MICROSYSTEMS, INC.**  
**Attn: Patent Operations Manager**  
**901 San Antonio Road, M/S UPAL01-521**  
**Palo Alto, California 94303-4900**

Date: June 11, 2001

Sun Microsystems, Inc.



Elaine K. Lee  
Registration No.: 41,936  
Attorney for Applicant(s)



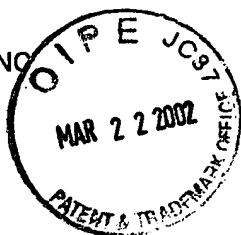
## UNITED STATES PATENT AND TRADEMARK OFFICE

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/878,708	06/11/2001	2673	1104	P5287 US	16	33	5

24726  
SUN MICROSYSTEMS INC  
901 SAN ANTONIO RD  
MS PALO1-521  
PALO ALTO, CA 94303



CONFIRMATION NO. 3981

## FILING RECEIPT



\*OC000000006409298\*

Date Mailed: 08/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Erwann Chenede, Dublin 1, IRELAND;

## Domestic Priority data as claimed by applicant

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## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 305733.8 07/07/2000

Technology Center 2100

If Required, Foreign Filing License Granted 08/09/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

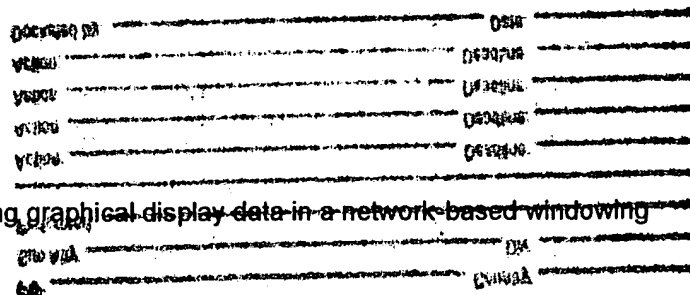
Early Publication Request: No

## Title

Apparatus and method for communicating graphical display data in a network-based windowing system

## Preliminary Class

345



Data entry by : TESHOME, KEFYALEW

Team : OIPE

Date: 08/10/2001



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231